

Disclosure Statement
(Registered Financial Adviser – RFA)

This disclosure statement was prepared on 29 / 06 / 2011

Name of financial adviser : Lucas Johannes Remmerswaal
Address : 55 Okura River Road
: RD2 Albany, Auckland.
Financial Service Provider number : FSP 147924
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It is important that you read this document:

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

Lucas Remmerswaal is a registered financial adviser with 15 years experience in the insurance broking and can give you advice about Risk Insurance:

| | |
|------------------------------|-------------------------------|
| Life Cover | Locum Cover |
| Family Protection | Business Overheads Protection |
| Accidental Death | Private Health Insurance |
| Trauma Insurance | Business Insurance |
| Disability Income Protection | Mortgage Protection |
| Waiver of Premium | Start-up Income Protection |
| Key Person Benefit | |

I will identify your needs with a detailed fact find and by writing a report. I am a broker with the following Life Insurance companies: AIA, AMP, Asteron, AXA, Fidelity, One Path, Partners Life & Sovereign. I maintain my knowledge and understanding of their products and services. In the key areas listed above by undergoing and completing regular product training and accreditation.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please let me know. If you are not satisfied you may lodge your complaint with the Insurance & Savings Ombudsman complaints scheme and they can investigate your concerns.

Name : Insurance & Savings Ombudsman
Mail : info@iombudsman.org.nz
Telephone : 0800 888 202 or Wellington (04) 499 7612 or Fax: (04) 499 7614
Physical Address : Level 7, Dimension Data House, 99-105 Customhouse Quay, Wellington.
Postal Address : PO Box 10-845, Wellington 6143

You may contact the complaints scheme by:

First checking [www. iombudsman.org.nz](http://www.iombudsman.org.nz) that Lucas Remmerswaal your Financial Service Provider

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(FSP) is a participant in the ISO scheme. You can do so by either contacting Lucas Remmerswaal or checking that his name appears on searching for an ISO Scheme Participant.

All FSPs are required to have internal complaints procedures in order to participate in the ISO scheme. Before we can consider your complaint the FSP must have been given the opportunity to resolve the complaint with you directly. We suggest that you contact Lucas Remmerswaal your FSP to discuss your complaint. Tell Lucas what your concerns are and how you would like them to be resolved. This is often the quickest way to resolve a dispute, but you can lodge your complaint with ISO if :

- You are unable to resolve your complaint directly with Lucas Remmerswaal your FSP; or
- Your FSP has not resolved your complaint within 40 days of you making your complaint; or
- Lucas Remmerswaal your FSP told you to take your complaint to ISO

ISO will investigate your complaint. It does not cost you anything. You may choose to take your complaint away from ISO at any time and pursue your rights in Court, but once you have done so it cannot be brought back to the ISO.

These contact details are listed above should you need them.

How am I regulated by the Government?

You can check that Lucas Remmerswaal is a registered financial adviser at <http://www.fspr.govt.nz> to confirm that his Financial Service Provider number is: FSP 147924

The Securities Commission regulates financial advisers. Contact the Securities Commission for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above.

Declaration I, Lucas Johannes Remmerswaal declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Adviser Signed : _____

Client Signed : _____

Date : _____